Timing of hypertonic saline and airway clearance techniques in adults with cystic fibrosis during pulmonary exacerbation: pilot data from a randomised crossover study

Katherine O'Neill, Fidelma Moran, Michael M Tunney, J Stuart Elborn, Ian Bradbury, Damian G Downey, Jackie Rendall, Judy M Bradley

ABSTRACT

Background: Streamlining the timing of treatments in cystic fibrosis (CF) is important to optimise adherence while ensuring efficacy. The optimal timing of treatment with hypertonic saline (HTS) and airway clearance techniques (ACT) is unknown.

Objectives: This study hypothesised that HTS before ACT would be more effective than HTS during ACT as measured by Lung Clearance Index (LCI).

Methods: Adults with CF providing written informed consent were randomised to a crossover trial of HTS before ACT or HTS during ACT on consecutive days. ACT treatment consisted of Acapella Duet. Patients completed LCI and spirometry at baseline and 90 min post treatment. Mean difference (MD) and 95% CIs were reported.

Results: 13 subjects completed the study (mean (SD) age 33 (12) years, forced expiratory volume in 1second % (FEV1%) predicted 51% (22), LCI (no. turnovers) 14 (4)). Comparing the two treatments (HTS before ACT vs HTS during ACT), the change from baseline to 90 min post treatment in LCI (MD (95% CI) −0.02 (−0.63 to 0.59)) and FEV1% predicted (MD (95% CI) −0.25 (−2.50 to 1.99)) was not significant. There was no difference in sputum weight (MD (95% CI) −3.0 (−14.9 to 8.9)), patient perceived ease of clearance (MD (95% CI) 0.4 (−0.6 to 1.3) or satisfaction (MD (95% CI) 0.4 (−0.6 to 1.5)). The time taken for HTS during ACT was significantly shorter (MD (95% CI) 14.7 (9.8 to 19.6)).

Conclusions: In this pilot study, HTS before ACT was no more effective than HTS during ACT as measured by LCI.

Trial registration number: NCT01753869; Pre-results.

INTRODUCTION

There is clear evidence that airway clearance techniques (ACT) to improve mucus clearance should form part of treatment in cystic fibrosis (CF) and emerging evidence that some forms of ACT may be more effective in the long term. Quality of evidence in this area is variable highlighting the need for high-quality trials in the future to provide a more robust evidence base for treatment. Often, technique choice remains dependent on patient preference and convenience as well as age and stage of disease. Recent research strategy has shifted from examining the comparative efficacy of different ACT to the study of ways to optimise the application of techniques. Finding the optimal treatment for a patient at any specific time requires consideration of available research evidence on efficacy among a range of other factors including coordination with inhaled therapies. Some forms of ACT now offer the possibility to deliver inhaled therapies during treatment and while these devices are attractive in terms of reducing the time burden associated with treatment, it is unclear how the timing of inhaled therapies impact on the effectiveness of ACT. Mucoactive agents such as hypertonic saline (HTS) are recommended to facilitate mucociliary clearance based on clear evidence from high-quality clinical trials across the age range and...
disease trajectory in CF.\textsuperscript{9–14} These trials typically administered HTS before ACT and this is currently the clinical recommendation. Notably, some technologies to deliver HTS during ACT were not available when these trials were conducted and further studies of these methods may yield useful results.

A recent Cochrane review highlighted how clinical effect could be influenced by the timing of HTS delivery in relation to ACT. The review outlined a number of potential theoretical benefits to inhalation of HTS during airway clearance, including maximising the benefits of the immediate peak in the airway surface liquid volume and reduced treatment time.\textsuperscript{15} Following this review, a randomised controlled trial of 50 adult patients with CF assessed the change in lung function (forced expiratory volume in 1 second % (FEV$_1$%)) predicted and perceived effectiveness and satisfaction of three treatment regimens (HTS before, during and after ACT) at the end of a hospital admission.\textsuperscript{16} This study found that effects on FEV$_1$ were not significant. Satisfaction was rated significantly worse when HTS was inhaled after ACT compared with before or during ACT. Perceived effectiveness of treatment showed similar effects. The study concluded that people with CF should be encouraged to time HTS before or during ACT to maximise perceived efficacy and satisfaction. There are currently no data on the effect of HTS and ACT timing on the Lung Clearance Index (LCI). LCI provides an assessment of ventilation distribution as measured by multiple-breath washout (MBW) which is increasingly being used in CF interventional studies.\textsuperscript{17} It is established that FEV$_1$ lacks sufficient sensitivity to detect changes in the peripheral airways.\textsuperscript{18} LCI has shown greater sensitivity to abnormalities in lung function compared with spirometry across the age ranges in CF\textsuperscript{19,20} and has proven responsiveness in trials of inhaled therapies\textsuperscript{21–23} and ACT\textsuperscript{24} in CF. Importantly, significant improvements in LCI have been reported with relatively small numbers of patients (n=17–25).\textsuperscript{14,21–24} These studies support the exploration of the effects of ACT in CF using LCI.

This pilot study aimed to compare the change in LCI at 90 min post treatment with HTS inhalation before ACT compared with HTS inhalation during ACT in adult patients with CF. Secondary outcomes included the change in FEV$_1$% predicted, forced expiratory flow (FEF)$_{25–75}$% predicted, 24-hour sputum volumes, patient-perceived and physiotherapist-perceived ease of clearance and satisfaction with treatment, number of coughs and duration of treatment.

**METHOD**

**Subject recruitment**

Inclusion criteria for the study were subjects with CF aged ≥18 years, near the end of an intravenous antibiotic (IVAB) therapy course (days 10–14) for a pulmonary exacerbation at Belfast Health and Social Care Trust (BHSCT), who were productive of sputum ≥10 g over 24 hours on enrolment, currently use or had previously used and tolerated HTS (Nebusal 7%) and provided written informed consent. The exclusion criteria were subjects who are HTS naive, had a reported intolerance to HTS, currently participating in another study or have participated in another study with an investigational drug within 1 month of screening, or had a clinically significant condition other than CF or CF-related conditions that could compromise the safety of the patient or the quality of the data.

Subjects were recruited between December 2012 and January 2015. This study was approved by the Office for Research Ethics Committees Northern Ireland (REC reference number 12/NI/0153), sponsored by Belfast Health and Social Care Trust (reference number: 12025JB-AS) and registered with clinicaltrials.gov (reference number NCT01753869).

**Treatment**

Subjects were randomised to complete crossover treatment of HTS before ACT inhalation (treatment order A) and HTS inhalation during ACT (treatment order B) on consecutive days. The ACT chosen was the Acapella (Acapella Duet Vibratory PEP Therapy System, Portex, Smiths Medical) which allowed for HTS inhalation during treatment. Both subjects who were Acapella naive and subjects who had previous experience of using Acapella were included. Randomisation was electronically generated and concealed by an administrator independent of the study. Treatment was assigned and carried out by a qualified respiratory physiotherapist (FM, JMB, KMCD). Full details for each treatment order are presented in table 1. The assessor conducting the outcome measures (KO’N) was blinded to the treatment intervention order.

**OUTCOME MEASURES**

**Lung Clearance Index**

The MBW test to measure LCI was carried out using the modified Innocor device and 0.2% sulfur hexafluoride (SF$_6$) using the previously validated open-circuit technique in accordance with the standard operating procedure (see online supplement 2).\textsuperscript{25} Subjects breathed through a mouthpiece at normal tidal volumes, while in a seated position and wearing a nose clip. Analysis of MBW data was performed using the Simple Washout Programme (permission granted). Functional residual capacity (FRC) was calculated as part of the LCI equation (LCI= cumulative expired volume/FRC). LCI represents the number of FRC lung volume turnovers it takes to clear the inert gas (SF$_6$) from the lungs and quantifies the degree of uneven gas mixing throughout the lungs. MBW was performed before, immediately after and 90 min after the treatment intervention. Ninety minutes was considered the longest period that was reasonable for a subject to wait. MBW was carried out...
and FEF35–75% predicted values were calculated from ref-
spirometer (CareFusion, Kent, UK). FEV1% predicted

either before or at least 30 min after spirometry in order
to avoid any effects of forced breathing manoeuvre

on LCI.

Spirometry
Spirometry was measured according to American
Thoracic Society/European Respiratory Society (ATS)/
(ERS) guidelines26 using a Microlab (ML3500 MK8)
spirometer (CareFusion, Kent, UK). FEV1% predicted
and FEF35–75% predicted values were calculated from
reference ranges for all ages.27

Sputum wet weight
Wat-weight sputum (g) expectorated immediately after
each treatment session and total wet-weight sputum
expectorated in the 24 hours following the start of each
study visit was collected in preweighed containers and
recorded (Metter J Balance, Meter-Toledo, Switzerland).

Patient-perceived and physiotherapist-perceived ease of
clearance and satisfaction
Subjects and the physiotherapist delivering the treat-
ment intervention scored their perceived ease of
sputum clearance and level of satisfaction with each
treatment using a Visual Analogue Scale labelled not
easy/not satisfied and extremely easy/extremely satis-
fied (see online supplement 3).

Cough count
During each treatment session, the physiotherapist per-
formed a manual ‘cough count’ recording the number of
coughs per treatment session.

Statistical analysis
For the primary end point of change in LCI at 90 min
post treatment, a sample size of n=31 was estimated to
detect a treatment effect size of 1.5 assuming a signifi-
cance level of 5% and a power of 80%. An interim ana-
lysis was planned at the halfway point. Data were
summarised using mean (SD) or median (IQR) statistics
as appropriate. Wilcoxon test and McNemar’s test
were used to assess change in the variables of interest.
Mean difference (MD) and 95% CIs were reported. Treatment
effect size was calculated as z/square root of N (number
of observations). Correlations were assessed using the
Spearman’s rho correlation coefficient. A p value <0.05
was considered statistically significant.

RESULTS
Following an interim analysis to compare change in LCI
at 1% α in data from 13 subjects, results showed the
treatment effect was unlikely to be sufficiently large to
attain clinical or statistical significance. Given this and
challenges with recruitment, the decision was made to
terminate the study at this point. These study results are
presented as pilot data to inform future studies.

Fourteen subjects were recruited and 13 completed
the study. Figure 1 illustrates the flow chart of recruit-
ment. Table 2 presents subject baseline characteristics.

Within-treatment change
The change in LCI from baseline to 90 min post treatment
with HTS before ACT (MD (95% CI) 0.10 (−0.59 to
0.79)) or with HTS during ACT (MD (95% CI) 0.12
(−0.42 to 0.66)) was not significant (table 3 and figure
2A, B). The FRC (component of the LCI) was significantly
reduced with HTS during ACT treatment (MD (95% CI)
−0.11 (0.20 to 0.03)), but was unchanged with HTS before
ACT treatment (MD (95% CI) −0.55 (−0.17 to 0.06)).
With ACT after HTS, 8/13 patients worsened (ie, LCI
increased) and 5/13 patients improved (ie, LCI
decreased). With HTS during ACT, 7/13 worsened (ie,
LCI increased) and 6/13 improved (ie, LCI
decreased). Change in LCI from baseline to immediately after

...
worsened (ie, FEV\textsubscript{1} decreased) and 2/13 stayed the same (table 3 and e-figure 1b). There was also no significant change in FEF\textsubscript{35-75}% predicted with either treatment (table 3 and e-figures 2 a and b).

**Between-treatment change**

Comparing the two treatments (HTS before ACT vs HTS during ACT), the change from baseline to immediately post treatment in LCI (MD (95% CI) −0.05 (−1.10 to 1.00)) and the change from baseline to 90 min post treatment in LCI (MD (95% CI) −0.02 (−0.63 to 0.59)), FEV\textsubscript{1}% predicted (MD (95% CI) −0.25 (−2.50 to 1.99)) and FEF\textsubscript{35-75}% predicted (MD (95% CI) −1.92 (−6.28 to 2.43)) was not significantly different.

With both treatment orders, the change in LCI and change in FEV\textsubscript{1}% predicted at 90 min post treatment was not always in agreement. With HTS before ACT, LCI and FEV\textsubscript{1}% predicted results were in agreement in 7/13 subjects (54%) (r=−0.51; p=0.08). With HTS during ACT, LCI and FEV\textsubscript{1} results were in agreement in 10/13 (77%) subjects (r=−0.48; p=0.10).

Comparing the two treatments (HTS before ACT vs HTS during ACT) using the other study end points, there was no difference in sputum weight expectorated immediately post (MD (95% CI) −3.0 (−14.9 to 8.9)) or 24 hours post treatment (MD (95% CI) 77.4 (86.1 to 241.0)), patient-perceived ease of clearance (MD (95% CI) 0.4 (−0.6 to 1.3)) or satisfaction (MD (95% CI) 0.4 (−0.6 to 1.5)). There was also no difference in the
physiotherapist perception of the ease of clearance (MD (95% CI) 0.7 (−0.2 to 1.6)), physiotherapist perception of the satisfaction with treatment (MD (95% CI) −0.4 (−0.6 to 1.3)) or in the number of coughs recorded between treatments (MD (95% CI) 6.2 (−0.02 to 12.5)). The time taken for HTS during ACT was significantly shorter (MD (95% CI) 14.7 (9.8 to 19.6)) (e-table 1).

DISCUSSION AND CONCLUSIONS
As technology advances, more efficient ways of delivering inhaled therapies linked to ACT are being explored in an effort to reduce the treatment time required. This pilot study aimed to explore the effectiveness of one such strategy, HTS during ACT using the Acapella Duet.

This pilot study found that the timing of HTS in relation to ACT did not have a significant effect on the change in LCI after a single treatment session. Although HTS during ACT was significantly shorter in duration, secondary end points of spirometry, sputum volumes, patient and physiotherapist perception of ease and satisfaction, and number of coughs were also not significantly different between treatments.

These results are in agreement with the findings by Dentice and colleagues,16 who found no difference in lung function between regimens (HTS before, during or after ACT) and reported similar numbers of patients stating a preference for ACT after or during HTS, compared with ACT before HTS. The authors concluded that preference for HTS before or during ACT over HTS after ACT, could have implications for long-term adherence. The pilot data presented in this paper adds to this topic further exploring differences between HTS before or during ACT regimens. Results suggest that if length of treatment time is an issue affecting adherence, HTS during ACT may offer a regimen which is equally effective but of shorter duration. Furthermore, although not statistically significant, notably fewer coughs were required to expectorate the same volume of sputum with ACT during HTS treatment compared with the HTS before ACT treatment.

Importantly, these results indicate that as a novel end point, LCI did not offer any further information in response to ACT and HTS treatment compared with spirometry. FEV1 is not always a suitable outcome measure

### Table 2 Subject baseline characteristics (n=13)

<table>
<thead>
<tr>
<th>Baseline characteristics</th>
<th>Mean (SD) unless otherwise stated.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Age (years)</strong></td>
<td>33.2 (12.2)</td>
</tr>
<tr>
<td><strong>Female/male</strong></td>
<td>5:8</td>
</tr>
<tr>
<td><strong>Median (IQR) 24-hour sputum weight (g)</strong></td>
<td>20.0 (25.0)</td>
</tr>
<tr>
<td><strong>FEV1% predicted</strong></td>
<td>51.1 (22.0)</td>
</tr>
<tr>
<td><strong>Median (IQR) FEF25-75% predicted</strong></td>
<td>14.0 (38.0)</td>
</tr>
<tr>
<td><strong>LCI (no. turnovers)</strong></td>
<td>13.9 (3.7)</td>
</tr>
</tbody>
</table>

Table 3 LCI and spirometry before and 90 mins after treatment

<table>
<thead>
<tr>
<th>HTS during ACT (n=13)</th>
<th>Baseline Post</th>
<th>MD (95% CI)</th>
<th>Rx effect p value</th>
</tr>
</thead>
<tbody>
<tr>
<td>LCI (no. turnovers)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14.1 (3.6)</td>
<td>12.9 (3.6)</td>
<td>0.12 (−0.42 to 0.66)</td>
<td>0.14</td>
</tr>
<tr>
<td>FRC (L)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.24 (0.5)</td>
<td>2.09 (0.5)</td>
<td>0.20 (0.20 to 0.20)</td>
<td>0.40</td>
</tr>
<tr>
<td>FEV1% predicted</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>47.2 (18.9)</td>
<td>48.8 (19.4)</td>
<td>0.64 (−0.61 to 3.38)</td>
<td>0.38</td>
</tr>
</tbody>
</table>

* p<0.05.

for ACT trials due to its lack of sensitivity as an end point.\textsuperscript{29} LCI was chosen as the primary outcome measure in this study as it has demonstrated superior sensitivity to changes in disease compared with spirometry\textsuperscript{20} and has proven responsiveness to treatment effect with inhaled therapies\textsuperscript{14} \textsuperscript{21} \textsuperscript{22} and ACT\textsuperscript{23} in CF. However, in this study, LCI did not detect any change within or between treatments. Change in LCI also did not significantly correlate with \textit{FEV}_{1}, with either treatment. Studies by Fuchs and colleagues\textsuperscript{29} and Pfleger and colleagues\textsuperscript{30} have also reported small and inconsistent changes (increasing and decreasing) in LCI after physiotherapy with weak to modest correlations between change in LCI and \textit{FEV}_{1}. Results from this pilot study of patients primarily with moderate to severe lung disease, add to this data providing results from two time points (immediately post and 90 min post treatment) from a clearly defined intervention (inhaled therapy and ACT). These results suggest that the effects of sputum clearance on LCI and FRC are complex, as ACT may open previously completely obstructed airways resulting in the recruitment of lung units paradoxically increasing LCI. LCI may also be much less informative in those with significant airflow obstruction\textsuperscript{31} \textsuperscript{32} which made up a large proportion of patients in this study (8/13 \textit{FEV}_{1} <50\% predicted at baseline). Discordant results with LCI and \textit{FEV}_{1} may not be surprising as they each measure a different aspect of lung physiology. These results add to the argument that LCI may not be a suitable short-term end point for airways clearance trials as response is unpredictable. Previous studies reporting significant effects assessed treatment effect were not short term but over a period 4–48 weeks with inhaled therapies\textsuperscript{14} \textsuperscript{21} \textsuperscript{22} and 3 months with airways clearance therapy.\textsuperscript{23} Lack of overall change in LCI in this study was in agreement with other end points including spirometry, sputum weight and patient preference supporting the validity of these results. The mean change in \textit{FEV}_{1} from baseline to 90 min post treatment (with HTS before ACT) could not be considered clinically significant.

Wet-weight sputum was chosen as a secondary outcome measure as it is feasible to perform. However, we acknowledge the inherent limitations of this measure as a clinical trial end point. Expectorated wet-weight sputum can include saliva, introducing error. An increase of decrease in sputum can be interpreted as an improvement, that is, an increase may mean improvement in clearance or a decrease may mean a resolution in infection. These issues limit the use of sputum as a reliable trial end point, although it remains an end point that is meaningful to patients.

In this study, inpatients receiving IVAB for treatment of a pulmonary exacerbation were the target group for recruitment. This was for feasibility reasons as the study design involved treatment on two consecutive days which would likely have been prohibitive for outpatients. Although our study design aimed to ensure participants were as close to their stable status as possible (days 10–14 IVAB), our recruitment process demonstrated how some patients were still unwell at this time point (ie, two patients failed screening as they felt too unwell to proceed; figure 1) and we cannot completely rule out the effect of pulmonary exacerbation on the variability of lung function results.\textsuperscript{31} However, this study represents a ‘real-life’ evaluation of a treatment that is often carried out during hospital admission.

This study investigated the use of a less commonly used adjunct (Acapella Duet) through which to deliver HTS during ACT. Using this device, we did not observe any significant deposition of HTS directly in the device and the resistance levels achieved remained optimum (between 10 and 20 cmH\textsubscript{2}O) in both treatments.
Limitations of this study include the small sample size and findings need to be reproduced in a larger sample, therefore the conclusions must be interpreted with caution. Recruitment was challenging due to inclusion criteria in the study which required that subjects had previously taken and tolerated HTS and be productive of ≥10 g of sputum at the end of IVAB treatment. Of the subjects who met the criteria, the majority progressed to screening (20/32; 63%) and thereafter randomisation (14/20; 70%). Opening the study to outpatients could have increased the number of potentially eligible patients; however, adherence to the study design (attendance on two consecutive days), we believe, would have been challenging.

This pilot study is the first study to assess the effect of HTS and ACT timing using LCI as an outcome measure and employed rigorous study design including blinded outcome measure assessor and a broad range of measures.

Overall, the results from this pilot study could not support the hypothesis that HTS before ACT was more effective than HTS during ACT as measured by LCI. Results indicate that HTS during ACT was no more effective than HTS before ACT, although it did result in a shorter treatment duration.

**Author affiliations**

1Centre for Experimental Medicine, Queen’s University Belfast, Belfast, UK
2School of Health Sciences, Ulster University, Jordanstown, UK
3School of Pharmacy, Queen’s University Belfast, Belfast, UK
4Frontier Science (Scotland) Ltd, Scotland, UK
5Belfast Health and Social Care Trust, Belfast, UK
6Clinical Research Facility, Queen’s University Belfast, Belfast, UK

**Acknowledgements** We would like to thank the patients who participated in this study, physiotherapist Dr Kathryn McDowell for her assistance in delivering treatments, Dr Alex Horsley (University of Manchester) and Dr Nick Bell (Department of Respiratory Medicine, Bristol Royal Infirmary) for permission to use the modified Innocor device standard operating procedure and the Simple Washout Programme for the analysis of MBW data.

**Contributors** KO’N, FM, MMT, JSE and JMB conceived and designed research; KO’N, FM and JMB recruited patients, performed treatment, collected clinical data and performed the assessments; KO’N, FM, MMT, IB, JSE and JMB analysed data; DGD and JR provided intellectual contributions; KO’N, FM, JMB, MMT and JSE wrote the paper.

**Funding** This work was supported by Forest Laboratories Europe.

**Competing interests** None declared.

**Ethics approval** This study was approved by the Office for Research Ethics Committees Northern Ireland (REC reference number 12/NI/0153).

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data sharing statement** No additional data are available.

**Open Access** This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/4.0/

**REFERENCES**


Timing of hypertonic saline and airway clearance techniques in adults with cystic fibrosis during pulmonary exacerbation: pilot data from a randomised crossover study

Katherine O'Neill, Fidelma Moran, Michael M Tunney, J Stuart Elborn, Ian Bradbury, Damian G Downey, Jackie Rendall and Judy M Bradley

BMJ Open Resp Res 2017 4:
doi: 10.1136/bmjresp-2016-000168

Updated information and services can be found at:
http://bmjopenrespres.bmj.com/content/4/1/e000168

These include:

References
This article cites 24 articles, 6 of which you can access for free at:
http://bmjopenrespres.bmj.com/content/4/1/e000168#BIBL

Open Access
This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/4.0/

Email alerting service
Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

Topic Collections
Articles on similar topics can be found in the following collections

Cystic fibrosis (3)

Notes

To request permissions go to:
http://group.bmj.com/group/rights-licensing/permissions

To order reprints go to:
http://journals.bmj.com/cgi/reprintform

To subscribe to BMJ go to:
http://group.bmj.com/subscribe/