Associations between genetics, medical status, physical exercise and psychological well-being in adults with cystic fibrosis

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ABSTRACT

Background: Cystic fibrosis (CF) is the most common autosomal recessive, life-shortening disease among people of European origin. Type of genetic mutation and regular physical exercise has an impact on clinical outcome. This cross-sectional study explores the associations between genetics, medical status, physical exercise and psychological well-being in adult patients with CF.

Methods: Adult patients with CF (N=68; mean age: 32.2; range 18–67 years; 46% women) completed the Cystic Fibrosis Questionnaire-Revised and Hospital Anxiety Depression Scale. Measures about lung function/forced expiratory volume in 1 s per cent predicted, body mass index, physical working capacity, immunoglobulin G, CF Transmembrane Conductance Regulator (CFTR) mutations, and physical exercise were obtained. structural equation modelling was used to fit models to data.

Results: A *cftr* gene mutation×age interaction effect indicates a psychological disadvantage increasing with age of having more severe CFTR mutations; >65% of the effect is mediated by medical status. Physical exercise has a positive effect on psychological well-being, but >75% of the effect is mediated by medical status.

Conclusions: Psychological well-being decreases with age in patients with more severe *cftr* mutations, to a large extent due to a parallel deterioration of medical status. Physical exercise has a positive effect on psychological well-being if resulting in better health only. To manage the complexity of these patients' needs, the CF-care should emphasise a holistic approach and offer individualised exercise/treatment programmes and psychological competence.

INTRODUCTION

Cystic fibrosis (CF) is the most common genetic life-shortening disease in populations of European origin.¹ The disease is caused by mutations in the *cftr* gene and is affecting multiple organs such as the lungs, pancreas and liver. CF is a chronic disease and the

KEY MESSAGES

- Does genetic mutation and physical exercise have associations with psychological well-being in adults with cystic fibrosis (CF)?
- Patients with CF homozygous or compound heterozygous for *cftr* gene mutation classes I and II with age get poorer psychological well-being with a parallel deterioration of health, and physical exercise contributes to psychological well-being in patients with CF only if resulting in improved health.
- The results will be helpful for the CF care identifying and strategically managing patients vulnerable for poor psychological well-being, a factor associated with poor adherence to treatment.

expected course of the disease is a progressive deterioration of health. The primary cause of mortality and morbidity in CF is progressive lung disease. Advances in the management of CF have led to dramatically improved prognosis and prolonged survival rate,² and to a growing proportion of the patients with CF now being adults. However, the disease is still incurable and the CF treatment regimen is extraordinarily demanding for the patients,³ conditions that might have an impact on the well-being of adult patients with CF, health-related quality of life (HRQoL) and psychological health.

Concerning associations between HRQoL and medical status among adults and adolescents with CF, stability of quality of life (QoL) over time and an independence of QoL and lung function have been concluded.⁴ In contrast, another study showed that changes in clinical variables are associated with change in HRQoL over time.⁵ Similarly, positive associations between lung function and QoL have been found among adolescents and adults with CF.^{6 7} In

one study, adult patients with CF reported better QoL than people in general.⁷

Only a few studies explore the more complex relationship between QoL, psychological health (ie, anxiety and depression) and medical status in people with CF. Among adults and adolescents, it has been found that life satisfaction,⁸ a related aspect of QoL, was negatively associated with anxiety and depression and positively associated with lung function.⁸ Similar results were obtained in a study among adults.⁹ Also, associations between medical status and QoL as well as associations between QoL and anxiety and depression, respectively, have been found among adult patients with CF.^{10 11}

Regular physical exercise can maintain and improve health in people with CF.¹² However, only a few studies have explored associations between physical exercise and HRQoL in adult patients with CF. A substantially beneficial effect of exercise on QoL has been concluded in a recent review,¹³ and a recent study among adults and adolescents¹⁴ found positive associations between HRQoL and physical activity. In contrast, two studies evaluating home exercise programmes among adults and adolescents with CF did not show any improvement in total HRQoL.^{15 16} On the other hand, in adult general populations a positive association between level of physical activity and HRQoL has been concluded.¹⁷

In non-somatically ill populations, it is known that physical exercise can improve anxiety and depression symptoms.^{18–20} No studies in patients with CF have explored the impact of physical exercise on anxiety and depression.

Cftr mutations have been grouped into different classes based on their predicted functional consequences for the CFTR protein, classes I–III being regarded as the most severe with an impact on the medical outcome.^{21–24} The type of mutation possibly also has an impact on the patients' HRQoL and psychological health. Until now, no studies have explored this.

The aim of this study was to examine the associations between genetics, medical status, physical exercise and psychological well-being in Swedish adults with CF. It could be anticipated that patients with a 'more severe' mutation class would have a worse medical status and, as an association between medical status and psychological well-being can be expected, also a worse psychological well-being. Owing to the expected association, a mediation of the effect of mutation class on psychological well-being, via medical status, could also be predicted. For similar reasons, an effect of physical exercise on psychological well-being, mediated via medical status, could be anticipated.

METHOD

Subjects

Participants to the study were recruited from the Stockholm CF Center, the largest CF-center in Sweden. Patients of interest were adults (≥ 18 years of age) with a confirmed diagnosis of CF and a known genotype.

Transplanted patients were excluded since the transplant changes the symptomatology, health status and treatment regimen dramatically. After exclusion, 91 participants remained as potential participants and of these 68 (75%) volunteered to participate in the study; 46% women; age: 32.2, SD 11.1, range 18–67 years. Mean forced expiratory volume in 1 s (FEV₁) percent predicted: 71.1, SD 28.1, range 22–125.

Of the potential participants, 25% did not participate in the study: 43% women; Mean age: 33, range 19–65, mean FEV₁ percent predicted: 71.7, range 20.6–123.3.

The study was approved by the Central Ethical Review Board, Stockholm, ethical permission, nr 2007/1266–31.

Procedures

The patients were invited and informed about the study by regular mail, which was followed up by a phone call. If interested in participating, an appointment with the CF-team psychologist or social worker was scheduled. During this appointment, the patient, after completion of written informed consent/assent, completed CFQ-R and HADS. Completion of the questionnaires usually took <20 min. Patients who reported elevated HADS scores (≥ 8) were followed up by the psychologist. After the appointment, a medical check-up was performed according to the routines for an outpatient clinic visit and FEV₁ percent of predicted value and BMI were measured. Data collection was made consecutively between March 2008 and February 2009.

Data were completed with information from the national Swedish registry based on the latest annual check-up about immunoglobulin G (IgG), physical working capacity (PWC), amount of physical exercise and information about genotype.

Measures

Assessments of HRQoL should be patient centred and reflect the individual's subjective evaluation of his or her functioning and well-being.²⁵ Since earlier associations have been found among adult patients with CF between HRQoL and anxiety and depression, respectively,^{10 11} anxiety and depression were measured, and the measures were, together with the HRQoL measures, used as a proxy of 'psychological well-being'.

HRQoL was measured using the Swedish translation (submitted: Hochwlder J, Bergsten-Brucefors A, Hjelte L) of the teen/adult version of CFQ-R, a questionnaire developed to measure HRQoL specifically in people with CF.²⁵ The instrument consists of 50 items across 12 domains (table 1). Reply choices generally include ratings of frequency and difficulty on a scale graded 1–4 (1='always' to 4='never', and 1='a lot of difficulty' to 4='no difficulty') or true/false (1='very true' to 4='very false'). Some items have more pronounced response alternatives, for example, 'How do you assess your health right now?', 1='Excellent' to 4='Poor'). Scores are standardised to a range 0–100 with higher scores indicating better HRQoL. Questions concerning demographic

Table 1 Descriptive statistics separately for the four groups based on the official *cfr* gene mutation classification system

	Group 1 (n=8)		Group 2 (n=30)		Group 3 (n=13)		Group 4 (n=17)		Total (n=68)		F‡
	M	SD	M	SD	M	SD	M	SD	M	SD	
Age	33.38	10.62	29.07	9.70	32.31	9.86	36.88	13.53	32.15	11.13	1.90
HADS A	6.63	2.88	6.60	4.07	4.15	3.46	5.18	2.88	5.78	3.63	1.74
HADS D	5.00	3.93	3.00	2.45	2.08	2.66	3.65	2.98	3.22	2.88	2.00
CFQ-R Tot	69.74	13.69	76.11	15.25	72.03	19.23	78.25	14.85	75.10	15.73	0.73
BMI	21.06	1.94	21.70	2.22	21.85	2.70	24.71	4.98	22.40	3.41	4.02*
FEV ₁	60.38	32.58	72.79	26.98	79.62	28.58	70.12	24.89	71.96	27.37	0.85
Phys exer	2.29	2.51	4.27	6.68	1.19	1.90	1.47	1.88	2.73	4.82	0.64
IgG	10.76	3.15	11.47	3.61	11.09	2.47	11.13	2.68	11.23	3.06	0.12
Work cap	201.86	73.95	141.71	89.81	200.62	54.60	143.63	81.36	162.00	82.25	2.42†

*p<0.05; †p<0.10.

‡With df=3 and 64.

BMI, body mass index; CFQ-R, Cystic Fibrosis Questionnaire-Revised; FEV₁, forced expiratory volume in 1 s; HADS A and D, Hospital Anxiety and Depression Scale Anxiety and Depression; IgG, immunoglobulin G.

factors (age, sex, civil status, educational level, work/school situation) are also answered. The questionnaire is until now translated into 34 languages and shows robust psychometric properties.²⁶

Anxiety and depression were measured using the Swedish validated version²⁷ of Hospital Anxiety and Depression Scale (HADS),²⁸ a well-validated²⁹ screening tool developed to identify cases of anxiety and depression in somatic populations. The questionnaire has two subscales, anxiety and depression, and consists of 14 items graded 0–3. Anxiety and depression scores are obtained by summing up the scores of each subscale, yielding values 0–21. Each subscale has three ranges: 0–7 (non-cases), 8–10 (mild-moderate anxiety or depression) and 11–21 (moderate-severe anxiety or depression). The cut off-scores are defined on the basis of psychiatric ranges of anxiety and depression disorders.

Four measures were used as proxies of medical status: FEV₁% predicted, BMI, IgG and PWC. Annual check-ups were performed according to European guidelines.³⁰ Information about pulmonary function, for example, FEV₁% predicted (Solymar and Hedenström reference equations for patients <19 and ≥19 years, respectively),^{31–33} BMI (weight (kg)/(height (m))²), IgG (routine in-house methods of protein electrophoresis), PWC (watt) (performed on an electrically braked bicycle ergometer) and amount of physical exercise (hours per week) was retrieved from medical records and the national Swedish CF-register. As high values on IgG indicate low degree of medical status high values were reversed to low and low values to high in order to get a variable were, similarly as on pulmonary function, BMI and PWC, high values indicate a high degree of medical status. These data were taken from the annual check-up performed during the period March 2008 to February 2009. In a few cases, since data were not available from the annual check-up during the present period, corresponding data were taken from the annual check-up performed in the year closest before or closest after.

Information regarding the patients' *cfr* gene mutation was collected from the Swedish National CF-register. The patients were divided into groups based on the official CFTR-database classification system:³⁴

Group 1. Homozygotes: Class I

Group 2. Homozygotes: Class II

Group 3. Compound heterozygotes: Classes I and II

Group 4. Heterozygotes: Class I + 'other' and Class II + 'other', where 'other' corresponds to Classes III, IV, V and VI. Furthermore, this group also contained patients homozygous or heterozygous for classes III–VI.

Since the mutation Classes I and II are causing a more severe disease,^{21 35} they are put together in the statistical analyses as 'Group 1–3' and compared to 'Group 4'.

Statistical analyses

Structural equation modelling (SEM) can be used to analyse associations between latent variables, which are unobserved constructs measured through manifest (observed) indicators. By having multiple indicators, one can expect to get a more complete and reliable measurement of the latent construct of interest compared with that provided by a single indicator. SEM could be described as a combination of factor and regression analysis. However, differently from traditional regression analysis, SEM does not assume that independent variables are measured without measurement error, an approach that tends to give more realistic parameter estimates.³⁶

SEM was used to fit two different models to the data. It should be noted that these two models are about two different questions, one about the effect of *cfr* mutation class and the other about the effect of exercise, rather than being two competing models trying to answer the same question. In both models, medical status was defined as a latent variable with the four manifest indicators (proxies) lung function, BMI, PWC and IgG (reversed). The correlations between lung function, PWC and IgG were high (> 0.55), while the correlation between these three and BMI was quite low (0.15–0.29). BMI was still kept as an indicator of medical status

because it is often used as such among patients with CF. The Cronbach's α of these four measures was 0.73, indicating satisfactory homogeneity.

In model 1, Groups 1–3, age and Groups 1–3×age interaction were used as predictors of 16 different aspects reflecting psychological well-being, and with medical status as a mediator, mediating the effect of these predictors on

the different aspects of psychological well-being. Effects were adjusted for the patient's sex, having a partner or not and educational level. Model 1 is illustrated in figure 1 with the CFQ-R total score as the outcome.

In model 2, level of exercise was used as the sole independent variable instead of Groups 1–3, age and Groups 1–3×age interaction. In this model, Groups 1–3 and age

Figure 1 Illustration of model 1, with CFQ-R total score as the outcome. The manifest (=observed) variables FEV₁, BMI, PWC and IgG (reversed) are used as indicators of the latent variable medical status, and this latent variable is included as a mediator mediating the effects of the predictors Groups 1–3, age and the Groups 1–3×age interaction effect on CFQ-R total score. The effects are adjusted for the effects of sex, having a partner or not and level of education. The parameter values are standardised regression coefficients. †p<0.10, *p<0.05, **p<0.001. BMI, body mass index; CFQ-R, Cystic Fibrosis Questionnaire-Revised; FEV₁, forced expiratory volume in 1 s; IgG, immunoglobulin G; PWC, physical working capacity.

Figure 2 Illustration of model 2, with CFQ-R total score as the outcome. The manifest (=observed) variables FEV₁, BMI, PWC and IgG (reversed) are used as indicators of the latent variable medical status, and this latent variable is included as a mediator mediating the effect of amount of physical exercise on CFQ-R total score. The effects are adjusted for the effects of sex, having a partner or not, level of education, groups 1–3 and age. The parameter values are standardised regression coefficients. †p<0.10, *p<0.05, **p<0.001. BMI, body mass index; CFQ-R, Cystic Fibrosis Questionnaire-Revised; FEV₁, forced expiratory volume in 1 s; IgG, immunoglobulin G; PWC, physical working capacity.

were adjusted for together with the patient's sex, having a partner or not and educational level. Model 2 is illustrated in figure 2 with the CFQ-R total score as the outcome. Analyses were conducted with Mplus V.7.3 software using Maximum Likelihood with Robust SEs estimation (Muthén and Muthén, 1998–2012).

RESULTS

Characteristics of the sample are presented in table 1 and online supplementary material S1. In almost all comparisons between the groups, the differences are not significant. However, the results are generally poorer for Group I. Levels of anxiety and depression for the total sample are in line with previous findings in a Swedish adult CF population, only marginally different compared to Swedish normative data.³⁷

The direct, indirect/mediated and total effects of medical status, Groups 1–3, age and Groups 1–3×age interaction (model 1), and of medical status together with level of exercise (model 2) on the four different outcomes reflecting the aspects of the variable psychological well-being, are presented in table 2. The same effects on the CFQ-R subscales are presented in online supplementary table S2.

For all outcomes reflecting aspects of the variable psychological well-being, except the CFQ-R Digest subscale and HADS-Anxiety, the Groups 1–3×age interaction effect indicates that the disadvantage of homozygosity, or compound heterozygosity, for Classes I and II increases with age and in seven cases this total interaction effect is significant. Leaving the CFQ-R Digest subscale aside, when the Groups 1–3×age interaction effect is significant, a substantial (> 65%) portion of the effect is mediated via medical status. This means that the increase with age in the psychological disadvantage of homozygosity, or compound heterozygosity, for Classes I and II is to a large extent accounted for by a parallel increase in a medical disadvantage of homozygosity, or compound heterozygosity, for Classes I and II (figure 3). For the CFQ-R subscales Emotion, Treat, Health, Weight and Respiration, a positive direct effect and a negative indirect effect cancel each other out resulting in a non-significant total Groups 1–3×age interaction effect (see online supplementary table S2).

To summarise: The psychological disadvantage of having the mutation classes causing a more severe disease increase with age, and a large portion of this increase in disadvantage is accounted for by a matching deterioration in medical status.

Physical exercise has a positive total effect on psychological well-being, except when measured with the CFQ-R Emotion subscale or HADS-Anxiety, and in eight instances this total effect is significant. In these eight cases, more than 75% of the effect is mediated via medical status. It is interesting to note that in no case is the direct effect of exercise significant, and in most cases it is even slightly negative. This may indicate that

Table 2 Direct, indirect and total standardised effects of Groups 1–3×age interaction (model 1) and of physical exercise (model 2) on outcomes reflecting the different aspects of the variable psychological well-being, mediated by medical status

Outcome	Effect	Model 1				Model 2			
		Medical status	Groups 1–3	Age	Groups 1–3×Age	Medical status	Exercise		
Med. Stat.	Direct	–	–0.22 (0.12)†	0.06 (0.13)†	–0.60 (0.18)†**	–	0.39 (0.13)†*		
Psychological well-being†	Direct	0.42 (0.18)*	0.09 (0.13)	0.15 (0.16)	0.07 (0.17)	0.44 (0.16)*	–0.13 (0.14)		
	Indirect§	–	–0.09 (0.06)	0.03 (0.05)	–0.25 (0.11)*	–	0.17 (0.10)		
	Total	0.42 (0.18)*	–0.00 (0.13)	0.18 (0.16)	–0.18 (0.16)	0.44 (0.16)*	0.04 (0.10)		
CFQ-R	Direct	0.75 (0.13)**	0.07 (0.13)	0.37 (0.14)*	0.04 (0.17)	0.77 (0.14)**	–0.10 (0.11)		
	Indirect§	–	–0.14 (0.11)	0.04 (0.09)	–0.42 (0.16)*	–	0.30 (0.13)*		
	Total	0.75 (0.13)**	–0.07 (0.13)	0.41 (0.15)*	–0.38 (0.15)*	0.77 (0.14)**	0.20 (0.08)*		
HADS-Anxiety	Direct	0.10 (0.19)	0.13 (0.12)	0.17 (0.17)	–0.11 (0.19)	0.14 (0.19)	0.06 (0.12)		
	Indirect§	–	–0.03 (0.05)	0.01 (0.02)	–0.06 (0.12)	–	0.05 (0.07)		
	Total	0.10 (0.19)	0.10 (0.11)	0.18 (0.16)	–0.18 (0.15)	0.14 (0.19)	0.11 (0.10)		
HADS-Depression	Direct	–0.43 (0.21)*	–0.25 (0.13)	–0.19 (0.18)	0.00 (0.19)	–0.46 (0.18)*	0.16 (0.17)		
	Indirect§	–	0.09 (0.06)	–0.02 (0.06)	0.25 (0.12)*	–	–0.18 (0.11)		
	Total	–0.43 (0.21)*	–0.16 (0.13)	–0.22 (0.17)	0.25 (0.12)	–0.46 (0.18)*	–0.02 (0.12)		

*p<0.05; **p<0.001; †Note: In model 1, the effects are adjusted for the patients' sex, partner status and educational level. In model 2, the effects are also adjusted for age and *cfr* gene mutation class.

§Via medical status.

†With psychological well-being as outcome.

CFQ-R, Cystic Fibrosis Questionnaire-Revised; HADS A and D, Hospital Anxiety and Depression Scale Anxiety and Depression.

Figure 3 The association between age and CFQ-R total score (left) and medical status (standardised to mean zero and SD one) (right) for patients homozygous or compound heterozygous for Classes I and II (filled circles and solid lines) and those not having these mutation classes (open circles and dotted lines). CFQ-R, Cystic Fibrosis Questionnaire-Revised.

there would be no advantage of physical exercise on psychological well-being if not accompanied by a positive effect on medical status.

DISCUSSION

It has been concluded earlier that there is no elevated risk for anxiety and depression in the Swedish adult CF-population compared to the general population.³⁷ The present findings are in accordance with those from earlier studies that have found an association between type of *cfr* gene mutation and medical outcomes^{21 35} as well as between lung function and psychological well-being among patients with CF.^{5 8–11} Above this, the present study indicates that the type of *cfr* gene mutation has an indirect/mediated effect on psychological well-being via medical status. Our results indicate that psychological well-being decreases with age for patients homozygous, or compound heterozygous, for Classes I and II. However, this decrease is to a large extent accounted for by a deterioration in health; thus, the type of *cfr* gene mutation cannot be said to have a direct impact on psychological well-being.

Our results further indicate that physical exercise has a positive effect on psychological well-being only if accompanied by a positive association with medical status. In no case is the direct effect of exercise significant, and in most cases it is even slightly negative. This may indicate that there would be no advantage of physical exercise on psychological well-being if not accompanied by a positive effect on health. However, neither the outcome ‘anxiety’ nor ‘emotion’ was included in the effect, and this statement therefore needs further research.^{13 14} Similar to two studies,^{13 14} but contrary to two others,^{15 16} our results show that physical exercise is associated with higher HRQoL. Our findings are maybe not comparable with the results from studies evaluating relatively short exercise/treatment programmes in patients who have not been exercising regularly before the study, and in which effects on lung function are not achieved.^{15 16} It should be taken into account that physical exercise is an essential part of the Swedish treatment

regimen since the 1980s and prescribed from the day of diagnosis. Since early childhood, a large part of the present sample has been exercising regularly and had their daily airway clearance partly based on exercise.

The negative (decreasing) effect of physical exercise on anxiety and depression symptoms, seen in non-somatic populations,^{18–20} is not seen in adults with CF. However, in these studies, medical status is not taken into account and it is therefore unknown if and how the medical status influences the effects of exercise on psychological well-being. Furthermore, physical exercise has not been tested earlier as an intervention for depressed or anxious non-exercising patients with CF; exercise may decrease depression and anxiety symptoms.

Since this is the first study including genetics, medical status and amount of physical exercise in the examination of psychological well-being in adult patients with CF, further research is needed to better understand the complexity of the associations found.

Both ageing patients homozygous, or compound heterozygous, for Classes I and II, and patients struggling with a high amount of exercise without improving their health should be seen as vulnerable for psychological distress. Since poor psychological well-being has a negative impact on adherence to treatment,³⁸ these issues are extra important to address. The CF care should take the complexity of these associations into account, emphasising a broad, holistic approach. To balance the need for a high amount of exercise, individualised care receptive for the patients’ needs, focusing on pleasurable alternative exercise and treatment forms, should be offered. Patients should be supported to find aspects of QoL that can be improved and maintained. Regular measurement of HRQoL and, according to international guidelines,³⁹ screening for symptoms of psychological distress should be offered.

Furthermore, the CF-centre staff should be trained in psychological skills to address and meet these patients’ needs. According to European guidelines,⁴⁰ psychologists should be integrated in the CF-care offering assessment and evidence-based interventions suitable for people dealing with stressful conditions.

Despite the good representativeness of the present CF-centre, this study has some limitations. First, the sample size and the groups are small; it might be interesting for the larger CF-centres or countries with larger CF-populations to further investigate these findings. However, we would like to emphasise that the effects found were very similar independent of which measure of psychological well-being was used, and this could be seen to indicate that the results are quite stable and not due to some random coincidence. Furthermore, the amount of physical exercise and some of the medical data were not measured at the same clinical visit as the medical parameters collected in connection to completion of the questionnaires. Physical exercise was reported directly to the physiotherapists, which possibly could have influenced the patient to overestimate their amount of exercise. However, this would affect the present findings only if degree of overestimation correlates with medical status or psychological well-being. The design of this study was cross-sectional; a longitudinal design should have given more information about changes over time and their predictors. Finally, although HADS is well validated and developed for use in somatic populations, a recent study⁴¹ revealed that limitations are found in the HADS Depression subscale. However, the main focus of this study was not levels or prevalence of depression.

CONCLUSIONS

Patients homozygous, or compound heterozygous, for Classes I and II with age get poorer psychological well-being with a parallel deterioration of health. Furthermore, physical exercise has a positive effect on psychological well-being only if associated with better health. To manage the complexity of these associations, the CF-care should emphasise a holistic approach and offer individualised exercise/treatment programmes and psychological competence.

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Contributors LB-E planning of the study concept, data collection, statistical analysis and interpretation of the data, drafting of the article. AB-B initial planning of the study, data collection, critically reviewing of the article. LH initial planning of the study, critically reviewing of the article, drafting of the article. BM planning of the study concept, drafting/critically reviewing of the article. KS planning of the study concept, statistical analysis and interpretation of the data, drafting/critically reviewing of the article. PI. All authors approved the final version published, and agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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