Pulmonary involvement in Fabry disease: effect of plasma globotriaosylsphingosine and time to initiation of enzyme replacement therapy

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ABSTRACT

Introduction Anderson-Fabry disease (AFD) is an X-linked lysosomal storage disorder caused by mutations of GLA gene leading to reduced α-galactosidase activity and resulting in a progressive accumulation of globotriaosylceramide (Gb3) and its deacylated derivative, globotriaosyl-sphingosine (Lyso-Gb3). Plasma Lyso-Gb3 levels serve as a disease severity and treatment monitoring marker during enzyme replacement therapy (ERT).

Methods Adult patients with AFD who had yearly pulmonary function tests between 1999 and 2015 were eligible for this observational study. Primary outcome measures were the change in z-score of forced expiratory volume in the first second (FEV1) and FEV1/FVC over time. Plasma Lyso-Gb3 levels and the age of ERT initiation were investigated for their association with lung function decline.

Results Fifty-three patients (42% male, median (range) age at diagnosis of AFD 34 (6–61) years in men, 34 (13–67) in women) were included. The greatest decrease of FEV1/FVC z-scores was observed in Classic men (−0.048 per year, 95% CI −0.081 to −0.014), compared with the Later-Onset men (+0.013, 95% CI −0.055 to 0.082), Classic women (−0.008, 95% CI −0.035 to +0.020) and Later-Onset women (−0.013, 95% CI −0.084 to +0.058). Cigarette smoking (P=0.022) and late ERT initiation (P=0.041) were independently associated with faster FEV1 decline. FEV1/FVC z-score decrease was significantly reduced after initiation of ERT initiation (−0.045 compared with −0.015, P=0.014). Furthermore, there was a trend towards a relevant influence of Lyso-Gb3 (P=0.098) on airflow limitation with age.

Conclusion Early ERT initiation seems to preserve pulmonary function. Plasma Lyso-Gb3 is maybe a useful predictor for airflow limitation. Classic men need a closer monitoring of the lung function.

INTRODUCTION

Anderson-Fabry disease (AFD) is an X-linked lysosomal storage disorder caused by mutations of GLA gene leading to reduced or absent α-galactosidase A (α-gal A) enzyme activity resulting in progressive accumulation of neutral glycosphingolipids, such as globotriaosylceramide (Gb3), and its deacylated soluble derivative globotriaosylsphingosine (Lyso-Gb3) in the plasma and in tissue lysosomes. Eventually, ruptured lysosomes deliberate their content in the extracellular matrix triggering inflammation and subsequent fibrosis. Since the vascular endothelium is highly sensitive to this kind of damage, kidneys, cardiovascular system, nervous system and skin are mainly affected by the disease. In addition, a similar mechanism is suggested concerning the smooth muscle cells of upper and lower airways, which may further lead to obstructive sleep apnoea or bronchial/bronchiolar obstruction, respectively.

Classic and Later-Onset phenotypes are known in AFD. Men with the Classic phenotype have mutations that cause an absent or very low (<1%) α-gal A activity and result in an early onset of acroparesthesias, angio-keratoma, cornea verticillata, hypohidrosis, gastrointestinal cramping and diarrhoea. With advancing age, progressive Gb3 accumulations culminate in hypertrophic cardiomyopathy, renal failure, cerebrovascular disease and obstructive pulmonary disease.
In contrast, men with the Later-Onset phenotype have mutations that cause a significant (>1%) residual α-gal A activity and result in cardiac, renal and cerebrovascular disease in the fourth to sixth decades of life without early clinical manifestations.8–11 Risk factors for both phenotypes are less clear and are difficult to define due to the low disease prevalence, heterogeneous disease manifestations and, in women, additionally due to a random x-chromosomal deactivation.12 13 Moreover, there is considerable uncertainty with regard to initiation of enzyme replacement therapy (ERT),14 which makes data on respiratory involvement difficult to interpret. Thus, more studies are needed to definitively underpin the association between AFD and different organ, particularly respiratory, involvement. Particularly, the effect of biomarkers, such as Gb3 and Lyso-Gb3, and the optimal timing of ERT initiation on respiratory involvement has not been investigated to date.

Residual α-gal A activity was demonstrated inversely proportional to plasma Lyso-Gb3 levels.15 Yet, Lyso-Gb3 is markedly increased in the plasma of classical Fabry patients with a higher sensitivity compared with Gb3 for the diagnosis of AFD, also in heterozygous women.16–18 Moreover, beside its use as diagnostic tool, Lyso-Gb3 seems to be a reliable therapeutic marker17 19 20 or a biomarker to predict clinical severity of the disease.21

The aim of the present study was to investigate whether Lyso-Gb3 might be a predictive biomarker for respiratory involvement of AFD as assessed by its association with pulmonary function decline alongside with other variables. Furthermore, we aimed to investigate the effect of ERT initiation on lung function decline with age.

MATERIALS AND METHODS

Patients
All patients in the AFD cohort at the University Hospital Zurich, which has been established in 2001 when ERT was in development, usually have at least one scheduled outpatient consultation in our centre per year. These consultations comprise an extensive clinical work-up of all possible manifestations related to AFD, such as cardiovascular system, kidneys, nervous system, eyes and lung. For purposes of the latter, there are yearly pulmonary function tests (PFTs). Based on the results of the aforementioned examinations, indications for ERT are discussed at quarterly conferences with participation of all involved disciplines. ERT was prescribed at the licensed dose of either 0.2 mg/kg body weight of recombinant agalsidase-α (Replagal) or 1 mg/kg body weight agalsidase-β (Fabrazyme) and given intravenously every 14 days. ERT was indicated in all men. In women, ERT was indicated if they had proteinuria of more than 300 mg per day, Fabry-typical kidney biopsy findings, signs of Fabry cardiomyopathy such as left ventricular hypertrophy or arrhythmia, stroke or transient ischaemic attack, acroparesthesias despite conventional analgesic therapy and/or gastrointestinal symptoms. All adult patients of this cohort who were treated and followed up at our centre from 1999 until 2015 were eligible for this retrospective observational study. Patients with at least two consecutive PFTs and known plasma Lyso-Gb3 value were included in the study. All patients had a Lyso-Gb3 measurement between October 2013 and December 2016 and had no ERT initiation or switch within at least 2 years prior to the measurement, so that the individual LysoGb3 levels were in the stable phase, as it has been shown previously.17

The study was approved by the Ethics committee of the canton of Zurich, Switzerland (KEK-ZH 2012–0115), and is registered at ClinicalTrials.gov (Identifier: NCT01632111).

Pulmonary function testing
Yearly PFTs (spirometry) were performed according to performance standards based on the statements from the American Thoracic Society and the European Respiratory Society (ERS)22 at the Department of Pulmonology. The patients were asked to withhold from cigarette smoking at least 4 hours before PFT. Lung volumes were measured with a commercial ZAN300 system (nSpire Health GmbH, Oberthulba, Germany). Since z-scores are not biased by age and misdiagnosis occurs when fixed cut-offs are

Figure 1 Patient flow chart. PFT, pulmonary function test.
used, the lower limit of normal was defined by the fifth centile, corresponding to $-1.64$ z-scores according to the ERS Global Lung Function Initiative. Thus, as criterion to define airflow limitation, we used the ratio between the forced expiratory volume in the first second ($FEV_1$) and the forced (expiratory) vital capacity ($FVC$) with a z-score cut-off of equal or below $-1.64$ rather than the fixed cut-off value of $FEV_1/FVC < 70\%$, as recommended by others. Determination of individual z-scores was achieved with GLI-2012 Desktop Software for Individual Calculations.

### Outcome measures

Primary outcome measures were the change in z-scores of $FEV_1$ and $FEV_1/FVC$ over time. The secondary goal was to investigate factors, which may affect change in $FEV_1$ and $FEV_1/FVC$ over time. For this purpose, age, sex, cigarette smoking, AFD phenotype (Classic vs. Later-Onset), residual $\alpha$-gal activity, age at ERT initiation, Mainz Severity Score Index (MSSI) and Lyso-Gb3 were assessed. Cigarette smoking was considered positive if greater than one pack-year. MSSI is a clinical scoring system to determine the severity of Fabry disease, considering general, neurological, cardiovascular and renal abnormalities. Cardiac involvement was defined positive if at least one cardiac MSSI variable was fulfilled. Measurement of plasma Lyso-Gb3 was performed using nano-liquid chromatography–tandem mass spectrometry system, which was validated recently.

### Phenotyping

The phenotype was determined as Classic or Later-Onset based on the specific $GLA$ mutation as reported previously. For novel missense mutations, the phenotype...
was based on clinical signs and symptoms in affected men and by in vitro expression assays.\textsuperscript{29,30}

Lyso-Gb3 measurement

For serum Lyso-Gb3 levels, blood samples were centrifuged and serum was immediately frozen at \(-80^\circ\text{C}\) for a later batch analysis. The samples were measured by high-sensitivity electrospray ionisation liquid chromatography–tandem mass spectrometry.\textsuperscript{31} A seven-point serum calibrator and an internal standard for Lyso-Gb3 quantification (covering the analytic range from 0 to 120 ng/mL; lower limit of quantification: 0.3 ng/mL), and three level controls (3, 30 and 100 ng/mL) for quality control were used (ARCHIMED Life Science GmbH, Vienna, Austria; www.archimedlife.com). The lower limit of quantification was determined according to EP guideline 17A2 using Lyso-Gb3 calibrators 0, 0.5 and 1.0 ng/mL. The laboratory members were blinded to patient’s ID numbers, sex and all clinical and biochemical information and were not involved in the collection of samples, interpretation of data or the decision to submit this article for publication.

Statistical analysis

Data were summarised as n (%) or median (range). Variables have been included in regression models either as nominal variables or, in case of continuous data, divided into three equally sized categories. Slopes have been estimated using linear mixed models, considering age as the time variable, and including a random intercept and slope for each subject. For models comparing slopes by levels of a categorical variable x, the P value of the interaction term was used to compare the slopes, and mean estimated slopes were computed from the sum of the coefficients for age and the interactions terms with each level of x. Multivariable models have been further adjusted by inclusion of other variables that had a P value of equal or less than 0.10 in the univariable analysis. Two-sided P values were considered statistically significant if they were less than 0.05.

Statistical analysis was performed using R (R Core Team, 2013) (R V.3.4.0 (2017-04-21)), with linear mixed models estimated using the lme4\textsuperscript{32} package (P values computed using the lmerTest package\textsuperscript{33}). Calculation of slopes from the coefficients for the fixed effects has been performed using the multcomp\textsuperscript{34} package.

RESULTS

From 101 eligible patients, 53 patients (42% male) performing totally 252 PFTs were included in the study (figure 1). Baseline characteristics are shown in table 1 and the detailed demographic and biochemical information in online supplementary table 1. At baseline (diagnosis of AFD), the median age in men was 34 (range 6–61) and in women 34 (13–67) years.

In total, 40 patients were under ERT. Thirty patients received agalsidase-\(\alpha\) and four agalsidase-\(\beta\) only throughout the observational period. Further six patients were switched at least once between the both ERT preparations. Thirteen patients were not on ERT: 1 man due to compliance reasons and 12 women due to lack of indication or compliance reasons.

Changes in FEV\textsubscript{1} and FEV\textsubscript{1}/FVC over time

Median (IQR) spirometric follow-up time was 7.7 (3.7–10.1) years. When considering z-scores, 8 of 53 patients (15%) had airflow limitation (defined as FEV\textsubscript{1}/FVC (z)≤\(-1.64\)) at baseline and a total of 27 patients (51%) developed airflow limitation over time. For all patients, mean absolute FEV\textsubscript{1} decline was \(-29.6\text{ mL per year of age (95% CI \(-34.5\) to \(-21.3\text{ mL})\), and FEV\textsubscript{1} z-score decreased annually by \(-0.01\text{ (95% CI \(-0.03\) to 0.06). FVC decline was...
−21.1 mL per year of age (95% CI −34.4 to −7.6 mL), and FVC z-score decline decreased annually by −0.007 (95% CI −0.02 to 0.01). Compared with this, FEV1/FVC z-score decreased −0.02 (95% CI −0.05 to −0.005) per year.

The slopes over time of FEV1 in z-scores for men and women according to the phenotype are illustrated in figure 2. The greatest decrease of FEV1/FVC z-scores was observed in Classic men (−0.048 per year, 95% CI −0.081 to −0.014) compared with the Later-Onset men (+0.013, 95% CI −0.055 to 0.082), Classic women (−0.008, 95% CI −0.035 to +0.020) and Later-Onset women (−0.013, 95% CI −0.084 to +0.058).

Factors affecting change in FEV1 and FEV1/FVC over time

Univariate associations of the slope of the two z-score outcomes with various factors are shown in table 2. Statistically significantly different slopes of FEV1 were seen by sex (P=0.048), smoking status (P=0.037), cardiac involvement by Fabry disease (P=0.033) and age at ERT initiation (P=0.029). Thus, male sex, active smoking or history of cigarette smoking, cardiac involvement and increased patient age at ERT initiation were associated with faster FEV1 decline compared with women, non-smokers, no cardiac involvement and early therapy start. Airflow limitation was significantly influenced by Lyso-Gb3 (P=0.022) and MSSI (P=0.007) with higher values associated with faster decline of FEV1/FVC.

Table 3 shows the results from the multivariable models, adjusting for other factors (sex, smoking, Lyso-Gb3, MSSI and age at ERT initiation). The only significant interactions with age after adjustment were history of cigarette smoking (P=0.022) and age at ERT initiation (P=0.041) with FEV1 (figure 3). Thus, current or former smokers and those with delayed ERT initiation had significantly faster FEV1 decline. There was no significant association of the investigated variables with airflow limitation over time. However, there was a considerable trend towards a clinically relevant influence of Lyso-Gb3 (P=0.098) on airflow limitation with age (figure 4).

Considering a model where the change in pulmonary function parameters over time varying by ERT status, there was a statistical significant interaction for the FEV1/FVC z-score with an improved slope (−0.045 compared with −0.015, P=0.014) after initiation of ERT (table 4).

**DISCUSSION**

There is increasing evidence of a functionally relevant pulmonary involvement of AFD, which is clinically
apparent as airflow limitation early in lifetime of these patients compared with non-Fabry diseased populations. The pathological mechanism of this disorder is most likely due to lysosomal accumulation of neutral glycosphingolipids in bronchial mucosa and smooth-muscle cells that result in small and medium airway narrowing. However, due to limited sample sizes of the available studies on this orphan disease and due to heterogeneous phenotypes of AFD and uncertainty with regard to adequate timing of ERT initiation,

Table 3 Multivariable analysis of estimated slopes by categorised covariates

<table>
<thead>
<tr>
<th>Variable</th>
<th>Levels</th>
<th>FEV₁ (z)</th>
<th>P value</th>
<th>FEV₁/FVC (z)</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex</td>
<td>Female</td>
<td>−0.025 (−0.062, 0.013)</td>
<td>0.19</td>
<td>−0.044 (−0.089, 0.001)</td>
<td>0.26</td>
</tr>
<tr>
<td></td>
<td>Male</td>
<td>−0.052 (−0.082, −0.022)</td>
<td></td>
<td>−0.070 (−0.106, −0.028)</td>
<td></td>
</tr>
<tr>
<td>Smoking</td>
<td>Never smoker</td>
<td>−0.025 (−0.055, 0.005)</td>
<td>0.22</td>
<td>−0.059 (−0.099, −0.020)</td>
<td>0.86</td>
</tr>
<tr>
<td></td>
<td>Smoker</td>
<td>−0.073 (−0.109, −0.037)</td>
<td></td>
<td>−0.063 (−0.107, −0.019)</td>
<td></td>
</tr>
<tr>
<td>Lyso-Gb3, nmol/L</td>
<td>&lt;8.6</td>
<td>−0.020 (−0.078, 0.038)</td>
<td>0.65</td>
<td>−0.042 (−0.101, 0.017)</td>
<td>0.54</td>
</tr>
<tr>
<td></td>
<td>8.6–21.2</td>
<td>−0.034 (−0.079, 0.011)</td>
<td></td>
<td>−0.027 (−0.077, 0.024)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>≥21.3</td>
<td>−0.049 (−0.085, −0.011)</td>
<td>0.52</td>
<td>−0.073 (−0.116, −0.030)</td>
<td>0.098</td>
</tr>
<tr>
<td>MSSI, points</td>
<td>&lt;6</td>
<td>−0.044 (−0.096, 0.008)</td>
<td>0.79</td>
<td>−0.067 (−0.126, −0.010)</td>
<td>0.15</td>
</tr>
<tr>
<td></td>
<td>6–15.6</td>
<td>−0.037 (−0.083, 0.008)</td>
<td></td>
<td>−0.021 (−0.075, 0.032)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>≥15.7</td>
<td>−0.042 (−0.079, −0.006)</td>
<td>0.73</td>
<td>−0.069 (−0.113, −0.026)</td>
<td>0.053</td>
</tr>
<tr>
<td>Age at ERT initiation, years</td>
<td>&lt;35</td>
<td>−0.013 (−0.063, 0.037)</td>
<td>0.041</td>
<td>−0.091 (−0.154, 0.028)</td>
<td>0.17</td>
</tr>
<tr>
<td></td>
<td>35–45</td>
<td>−0.056 (−0.107, −0.005)</td>
<td></td>
<td>−0.037 (−0.101, −0.028)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>≥46</td>
<td>−0.031 (−0.078, 0.016)</td>
<td>0.42</td>
<td>−0.004 (−0.054, 0.062)</td>
<td>0.28</td>
</tr>
</tbody>
</table>

Values are estimated slopes (95% CI) and P values, respectively. For covariates with three categories, the ranges for each category are lowest, mid (highest minus lowest), highest. Median (IQR) spirometric follow-up time was 7.7 (3.7, 10.1) years.

ERT, enzyme replacement therapy; MSSI, Mainz Severity Score Index.
patients at increased risk of pulmonary involvement are difficult to define. Notably, neither MSSI nor residual α-gal activity was associated with FEV₁ decline or the appearance of airflow limitation in the latter study.

In the present considerably large single-centre cohort study on patients of both sexes with AFD, we have addressed this gap by investigating plasma Lyso-Gb3 and age at ERT initiation in addition to conventional factors that have been described in a recent publication by our group. Plasma Lyso-Gb3 has been demonstrated to be a reliable therapeutic marker as well as biomarker to predict clinical severity of AFD. Thus, we hypothesised that plasma Lyso-Gb3 in patients with AFD might correlate with lung function decline, particularly the appearance of airflow limitation.

We found that increased plasma Lyso-Gb3 levels show an independent trend towards a clinically relevant risk of airflow limitation with age. Thus, plasma Lyso-Gb3 is likely to be a useful predictor of pulmonary involvement in terms of early airflow limitation and might help for risk stratification and treatment decisions. Notably, there was no other factor associated with airflow limitation over time, yet Lyso-Gb3 might have become significant with a higher number of included subjects. Noteworthy, the suggested relationship between Lyso-Gb3 and airflow limitation underlines the existence of pulmonary involvement by AFD.

Importantly, pulmonary involvement seems to be more prominent in Classic than in Later-Onset men and the women of both phenotypes. This finding suggests that the residual α-gal A activity in women and Later-Onset phenotype individuals is sufficient to clear the bronchial mucosa and smooth-muscle cells from the Gb3 deposits, this in analogy to the vascular endothelial cells. Attempts to predict the lung phenotype based on the type or location of the GLA mutation have already been undertaken by the group of Brown et al. In this study, three patients with frameshift mutations and two with the missense mutation D264V, which markedly alters the enzymatic α-gal A structure and function, exhibited airway obstruction, consistent with the absence of enzymatic activity. In contrast, the patients with other, less severe missense mutations did not. The authors stated that drawing conclusions may be somewhat premature because their studies were limited by the small number of patients. Our much larger study confirms this previous assumption and our results suggest that closer systematic monitoring of Classic men for pulmonary disease might be helpful in diagnosing and early treatment of Fabry pneumopathy.

Concerning FEV₁ slopes, we found that cigarette smoking and later ERT initiation were associated with faster FEV₁ decline. The latter finding brings new light into an area of uncertainty. There are limited data on the effect of ERT on pulmonary involvement of AFD and the optimal time for initiation of ERT is unknown. Several case reports and small case series stated that ERT has beneficial effects on PFT by stabilising or even increasing FVC and/or FEV₁. Our data suggest that an earlier ERT initiation probably helps to stabilise FEV₁ decline and preserve pulmonary function. Similarly, Arends et al found in their retrospective cohort that ERT initiation before the age of 25 years resulted in lower Lyso-Gb3 levels after 1 year compared with those with a later treatment start. Thus, early ERT initiation seems to result in better biochemical response and, subsequently, slower FEV₁ decline. Furthermore, we were able to show a significantly improved annual decrease of FEV₁/FVC z-score after initiation of ERT compared with before the onset of treatment, which is a unique finding in the literature.

The possible association between cigarette smoking and FEV₁ decline in patients with AFD is not new and confirms the findings of others. However, a very recent study by our group found no significant association between cigarette smoking and FEV₁ decline. Since this is the first study to show a probable association between plasma Lyso-Gb3 and airflow limitation, and an independent association between age at ERT initiation and FEV₁ decline, respectively, these findings

| Table 4 | Annual pulmonary function changes before and after initiation of enzyme replacement therapy |
|---------------------------------|---------------------------------|-------------------|-------------------|
|                               | Before initiation of ERT        | After initiation of ERT | P value |
| FVC, mL                        | −14.7 (−29.3, −10.0)            | −14.3 (−30.8, 2.1)    | 0.11   |
| FEV₁/FVC, z-score              | −0.045 (−0.075, −0.014)         | −0.015 (−0.036, 0.006) | 0.014 |
| FEF25%–75%, mL                 | −45.1 (−64.7, −25.5)            | −43.3 (−56.5, −30.1)  | 0.81   |
| FEF25%–75%, z-score            | −0.004 (−0.030, 0.022)          | 0.002 (−0.014, 0.018) | 0.56   |
| DLCO, % predicted              | 0.07 (−0.32, 0.47)              | −0.09 (−0.36, 0.17)   | 0.34   |

Values are estimated slopes (95% CI) of annual pulmonary function change, whereas median (IQR) spirometric follow-up time was 7.7 (3.7, 10.1) years.

DLCO, CO diffusion capacity of the lung; ERT, enzyme replacement therapy; FEF25%–75%, forced expiratory flow between 25% and 75% of FVC; FEV₁, forced expiratory volume in the first second; FVC, forced (expiratory) vital capacity.

must be confirmed in a larger cohort. However, our study has included a considerably large sample size of patients with AFD. Moreover, we are able to overcome the drawback of earlier studies on pulmonary function in patients with AFD because we now used z-scores, which are not biased by age, rather than the fixed cut-off ratio of FEV1/FVC<70%. The latter value generally leads to an underestimation of the prevalence of airflow limitation in younger individuals. 23, 25

There are some limitations of our study that have to be mentioned. First, the retrospective study design is a possible source of bias. However, a prospective investigation of the optimal time of ERT initiation is likely impossible due to ethical concerns. On the other hand, we did not compare treated and untreated patients since the latter group include mainly asymptomatic women. Second, Lyso-Gb3 values are subject to changes during ERT,17, 18 which might have an effect on its applicability. The association of lysoGb3 and airflow limitation would possibly become significant, when only pre-ERT values were used for statistical analysis. A further limitation is that the number of Later-Onset patients is low in our cohort; therefore, our results need to be confirmed by studies with more Later-Onset patients. Lastly, since we normally do not perform body plethysmographies in Fabry patients, and since respiratory symptoms such as chronic cough and sputum production were not systematically captured, a clear differentiation from COPD is not possible, thus an overlap between Fabry-associated lung disease and COPD cannot be excluded.

CONCLUSION
This is the first study to show a probable association in patients with AFD between plasma Lyso-Gb3 and airflow limitation on one hand, and an independent association between age at ERT initiation and FEV1 decline on the other hand. Thus, plasma Lyso-Gb3 could be useful as clinical predictor of pulmonary involvement by AFD, alongside conventional risk factors such as cigarette smoking, male gender and age. Furthermore, our data suggest earlier ERT initiation since this may help to stabilise FEV1 decline and preserve pulmonary function. In addition, this is the first study demonstrating a significantly improved annual decline of FEV1/FVC z-score after initiation of ERT. Further studies are needed to confirm these findings.

REFERENCES