Rationale and design of the SARCoidosis Outcomes in all respiratory Viral Infectious Diseases (SARCOVID) Study

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ABSTRACT

Introduction Respiratory infections are ubiquitous. The COVID-19 pandemic has refocused our attention on how morbid and potentially fatal they can be, and how host factors have an impact on the clinical course and outcomes. Due to a range of vulnerabilities, patients with sarcoidosis may be at higher risk of poor outcomes from respiratory infections. The objective of the SARCoidosis Outcomes in all respiratory Viral Infectious Diseases (SARCOVID) Study is to determine the short-term and long-term impacts of respiratory viral illnesses (COVID-19 and non-COVID-19) in sarcoidosis.

Methods and analysis Up to 20 clinical sites across the USA are participating in the recruitment of 2000 patients for this observational, prospective study. To ensure that the study cohort is representative of the general population with sarcoidosis, participating sites include those dedicated to reaching under-represented minorities or patients from non-urban areas. Baseline data on demographic features, comorbidities, sarcoidosis characteristics and pre-enrolment lung function will be captured at study entry. During this 3-year study, all acute respiratory infectious events (from SARS-CoV-2 and any other respiratory pathogen) will be assessed and recorded at quarterly intervals. The level of required medical care and survival outcomes determine infection severity, and the impact of infection on quality of life measures will be recorded. Post-infection lung function and imaging results will measure the long-term impact on the trajectory of sarcoidosis. Patients will be analysed according to the clinical phenotypes of cardiac and fibrotic pulmonary sarcoidosis. Control groups include non-infected patients with sarcoidosis and patients with non-sarcoidosis interstitial lung disease.

Ethics and dissemination Each site received local institutional review board approval prior to enrolling patients, with the consent process determined by local institution standards. Data will be published in a timely manner (goal <12 months) at the conclusion of the 3-year follow-up period and will be made available upon request.

INTRODUCTION

Sarcoidosis is an inflammatory disease of unknown aetiology which triggers a range of proinflammatory and regulatory immune responses. The accumulation of macrophages which aggregate into granulomas at sites of disease is central to the pathophysiology. 1 T helper 1 lymphocytes are amplified and are thought to augment macrophage recruitment and function. Key chemokines and cytokines which mediate these cellular events include IFNg (interferon gamma), tumour necrosis factor-alpha, interleukin 2, CXCL9 (chemokine ligand 9) and CXCL10 (chemokine ligand 10). 2-4 Immunosuppressive therapies, when titrated to patient response, often induce clinical remission. 5,6 However, disease recurrence is common following discontinuation of treatment 7 and many patients with disabling, progressive or potentially dangerous disease require long-term immunosuppression.
Sarcoidosis can affect the lungs, heart, central nervous system, eyes, skin and other organs. While multisystem disease is common, the lungs are the most frequently affected organ and nearly all patients have some degree of pulmonary involvement. Sarcoidosis-interstitial lung disease (sarcoid-ILD) encompasses inflammation, fibrosis or a mixture of these processes in the lung interstitium. The development of fibrotic sarcoid-ILD is a risk for lung function decline, respiratory failure and death, and thus is a key determinant of prognosis in sarcoidosis. While sarcoid-ILD accounts for an estimated 20% of ILD burden, it is under-represented in ILD research.

The demographic features of patients with sarcoidosis differ from those of other ILDs. Most patients with sarcoidosis are diagnosed as a young or middle-aged adult, and in the USA the incidence of sarcoidosis is up to three times higher for black patients compared with white patients. In addition, women are more likely than men to develop the disease, and black women have the highest rate of sarcoidosis among sociodemographic groups. Regardless of organ phenotype and demographic features, quality of life impairment is common in sarcoidosis. In a large survey, quality of life was the most important disease metric identified by patients. In addition to psychosocial strain, sarcoidosis is associated with work absenteeism, which is a risk for financial strain. For these and other reasons, including reduced lung reserve and the use of immunosuppression, patients with sarcoidosis may be more vulnerable than healthy people to the multifaceted impacts of respiratory infections (figure 1).

SARS-CoV-2 has altered our understanding of the potential significance of common respiratory infections. Early in the pandemic, it became evident that patients with underlying cardiac or lung disease were at increased risk of severe COVID-19. Patients with ILD, for example, had higher hospital admission and mortality rates compared with patients without ILD, with ILD severity serving as an additional modifying risk factor for COVID-19 outcomes. Outcomes for patients with sarcoid-ILD are less clear, however, as few patients with sarcoidosis have been included in studies on ILD and COVID-19.

In a retrospective review, the risk of hospitalisation or death from COVID-19 was not increased for patients with sarcoidosis compared with controls matched for age and comorbidities. While several other sarcoidosis-specific reports have been published, the findings are not widely applicable due to limitations such as small or non-diverse cohorts with non-severe disease, or selection bias in the other direction of limiting enrolment to hospitalised patients. Data from self-reported questionnaires given to patients with sarcoidosis offer some insight into the course of infection among patients fit enough to complete a survey, but the lack of a control group and an inability to verify data make extrapolation of outcomes to the general population with sarcoidosis difficult. A comprehensive assessment of outcomes is needed to measure impacts beyond the binary outcome of severe versus non-severe disease, and to evaluate the associated risk of sarcoidosis phenotype on outcomes. In addition to fibrotic sarcoid-ILD, the impact of underlying cardiac sarcoidosis on COVID-19 has not been measured, in spite of the identification of cardiac disease as a risk factor for poor outcomes in viral respiratory infections.

While COVID-19 is a uniquely disruptive pandemic, a range of other respiratory pathogens continue to circulate. Nearly all of these pathogens can cause a severe illness, and even mild infection courses can trigger exacerbation of chronic lung diseases such as asthma, chronic obstructive pulmonary disease and cystic fibrosis. In addition to measuring outcomes of COVID-19, a key objective of the SARCoidosis Outcomes in all respiratory Viral Infectious Diseases (SARCovid) Study is to assess the burden and impact of a broad range of respiratory infections in sarcoidosis, with the goal that elucidation of the short-term and long-term impacts of infection will be meaningful for patients and will inform clinical management.

Rationale
Whether certain clinical features of sarcoidosis increase the risk of severe respiratory viral illnesses remains unclear. In addition, it is unknown if or to what extent respiratory...
infections compound underlying functional and psychosocial burdens in sarcoidosis. Early in the pandemic, short-term outcomes of infection were the only feasible outcome to measure. However, a narrow focus on short-term outcomes fails to capture the potential morbidity associated with longer-term effects of infection and the complex nature of recovery (figure 2). The SARCOVID Study captures infectious event data over 3 years, corresponding to several respiratory viral seasons, and is the only study of its kind to assess both short-term and long-term outcomes in a diverse cohort of patients. In addition to functional assessments, the long-term effects of respiratory infections on the natural history of sarcoidosis are also measured. We hypothesise that, in sarcoidosis, patients with lung fibrosis or cardiac involvement are at increased risk of severe infection courses compared with patients with less dangerous phenotypes of sarcoidosis and that—across all phenotypes—respiratory infections will be associated with prolonged functionality declines.

**Study objectives**
The primary aim of this study is to determine the association of clinical features and respiratory infection outcomes in sarcoidosis. To assess whether patients with fibrotic pulmonary or cardiac sarcoidosis have higher risk of severe infection, we will use multivariate analyses to measure the association of these phenotypes with binary measures of infection severity in SARS-CoV-2 and other respiratory infections (diagnosed by viral gene or antigen testing or clinical features), with severe infection defined by the need for new or augmented supplemental oxygen support, hospitalisation or death. For all patients with sarcoidosis, we will measure symptom duration, and compare pre-infection and post-infection psychosocial function including quality of life measures through the validated King’s Sarcoidosis Questionnaire. Our secondary aim is to measure the impact of respiratory infections on the trajectory of sarcoidosis through serial pulmonary function and imaging measures, and via a quarterly assessment of infection-mediated changes in sarcoidosis treatment.

**METHODS AND ANALYSIS**
The SARCOVID Study is an observational, prospective study (figure 3). Patients with sarcoidosis meeting inclusion criteria will be enrolled at a diversity of study sites in the United States and Canada, with a target enrolment of 1,000 participants. The study design and timeline (figure 3) is based on the institutional review board-approved protocol, and all participants will provide informed consent. For each participant, data will be collected on demographic and clinical features, infectious event data, and functional and psychosocial outcomes. The study will conclude with final data cleaning and publication of findings.
sites across the USA (tables 1 and 2). Select sites will also enrol patients with non-sarcoidosis ILD. Infection outcomes will be measured against the phenotypes of pulmonary fibrosis and cardiac involvement, and against other clinical features including treatment status. Non-infected patients with sarcoidosis—matched to age, race and sex—will serve as the primary comparison group. Patients with non-sarcoidosis ILD will also serve as a comparator group.

Recurrent assessments for infection events or changes in sarcoidosis status will be collected quarterly over 3 years. Each site has obtained institutional review board approval locally, with most sites initiating data collection near the time of the COVID-19 pandemic outbreak (winter 2020). Adult patients with an established diagnosis of sarcoidosis or another ILD and receiving clinical care at a recruiting site will be screened for study participation. At sites requiring informed consent, any patient meeting inclusion criteria and who provides consent will be enrolled.

To achieve the aims of the study, the recruitment goal of 2000 patients with sarcoidosis was established. This goal was based on an assumed rate of hospitalisation at 15% for patients with sarcoidosis who develop a viral infection. The recruitment goal of 2000 patients provides 80% power to detect a 20% absolute change in one of our primary outcomes, hospitalisation, with a two-sided α level of 0.05.

**Patient and public involvement**

Patients were not explicitly involved in the study design planning, which was done in an urgent fashion at the onset of the pandemic. However, our study design was heavily informed by patient survey data, which indicate that functionality and quality of life are critically important aspects of sarcoidosis management. Our data will be published in a timely manner at the conclusion of the 3-year follow-up period and will be made available upon request.

**Clinical measures and outcomes**

Data will be extracted from the electronic clinical records generated from routine clinical care. Data will be uploaded into local Research Electronic Data Capture (REDCap) databases, universal database template was provided to all participating centres, with the option for individual sites to add additional data fields to support local study interests. The database template consists of a defined set of fields for demographic and clinical features, including treatment status, as well as recurrent quarterly acute infection assessment forms (box 1).

Demographic details captured at enrolment include age, race, ethnicity and sex. A comprehensive smoking history assessment includes past or present tobacco use, vaping, marijuana use and other inhalations. A large range of comorbidities, with a focus on conditions which alter immune responses or modify infection risk, are captured. Sarcoidosis features include method of diagnosis, disease chronicity, organ involvement, CT chest imaging findings in patients with lung fibrosis, pre-infection treatment status, recent (within 12 months of enrolment) pre-infection, pulmonary function testing (PFT) and oxygen saturation results for testing done within a year prior to enrolment. Predefined phenotypes of interest include fibrotic pulmonary sarcoidosis and cardiac sarcoidosis. Systemic therapies for sarcoidosis are recorded at the time of enrolment and are updated in

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**Table 1 Collaborating sites by geographical location in the USA**

<table>
<thead>
<tr>
<th>Northeast</th>
<th>South</th>
<th>Midwest</th>
<th>West</th>
</tr>
</thead>
<tbody>
<tr>
<td>University of Pennsylvania</td>
<td>Virginia Commonwealth University</td>
<td>Medical College of Wisconsi</td>
<td>University of Utah*</td>
</tr>
<tr>
<td>New York University</td>
<td>University of Florida</td>
<td>University of Illinois Chicago</td>
<td>University of Califor nia San Diego</td>
</tr>
<tr>
<td>Yale University*</td>
<td>University of Texas</td>
<td>University of Chicago</td>
<td>National Jewish Health</td>
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<td>Cleveland Clinic</td>
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<tr>
<td>Albany Medical Center*</td>
<td>East Carolina University</td>
<td>University of Iowa*</td>
<td></td>
</tr>
<tr>
<td>Temple University*</td>
<td>University of South Florida</td>
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</tbody>
</table>

*Institutional review board approval and data use agreements are in place; pending enrolment.

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**Table 2 Inclusion and exclusion criteria along with case versus control groups**

<table>
<thead>
<tr>
<th>Inclusion criteria</th>
<th>Case groups</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age 18–90 years old</td>
<td>Sarcoïdosis and viral illness (majority)</td>
</tr>
<tr>
<td>Sarcoïdosis or non-sarcoïdosis ILD</td>
<td>Non-sarcoïdosis ILD and viral illness (minority)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Exclusion criteria</th>
<th>Control groups</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pregnant</td>
<td>Sarcoïdosis and no viral illness</td>
</tr>
<tr>
<td>Imprisoned</td>
<td>Non-sarcoïdosis ILD and no viral illness</td>
</tr>
<tr>
<td>Unable to consent</td>
<td></td>
</tr>
<tr>
<td>ILD, interstitial lung disease.</td>
<td></td>
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</tbody>
</table>
Box 1 Clinical research forms

Demographics and disease features
- Race, ethnicity, sex, age at enrolment, tobacco use, body mass index.
- Comorbidities: diabetes, hypertension, coronary artery disease, pulmonary hypertension, other cardiovascular disease, stroke, COPD, renal disease (dialysis dependent or independent), autoimmune disease, cancer (past or present), organ transplant, HIV, CVID, chronic lung infection, unknown or none.
- If cancer history: cancer type, when diagnosed and current status.
- Sarcoidosis
  - Biopsy/diagnosis date.
  - Organs affected: thoracic lymph nodes, extrathoracic lymph nodes, heart, eyes, skin, liver, spleen or bone marrow involvement, CNS, MSK/joints, other or unknown; Lofgren’s syndrome (Y/N).
  - If pulmonary involvement: fibrosis (Y/N based on CT findings of honyecombing, architectural distortion, fibrous bands, traction bronchiectasis, and/or asymmetric volume loss); assessment of disease activity in the past year (active disease defined by progression, active symptoms or treatment requiring).
Non-sarcoidosisILD
- Diagnosis date and how diagnosed.
- ILD subtype: IFP, CTD-ILD, hypersensitivity pneumonitis, idiopathic NSIP, COP, chronic beryllium disease, asbestosis, other pneumoconiosis, RB-ILD/DIP, unclassifiable ILD, pulmonary alveolar proteinosis, Langerhans cell histiocytosis, other diffuse cystic lung disease, other ILD, unknown ILD.
- IPAF: Y/N.
- For all subjects
  - Objective measures at enrolment: lung function (FVC, absolute and % predicted), and resting and exertional oxygen saturation.
  - Sarcoidosis treatment(s) at enrolment: none, prednisone average daily dose 10 mg or lower, prednisone average daily dose >10 mg, methotrexate, TNF-α inhibitor, leflunomide, mycophenolate mofetil or azathioprine, hydroxychloroquine, JAK inhibitor, IL-6 inhibitor, acthar gel, rituximab, antifibrotic agent (nintedanib or pirfenidone).

Acute infection assessments (recurrent/quarterly)
- Type of infection: SARS-CoV-2 (with questions about how diagnosed), influenza, respiratory syncytial virus, parainfluenza virus, human metapneumovirus, human rhinovirus, adenovirus, other.
- Associated symptoms: temperature >100.0°F/37.8°C, new or worsening hypoxaemia, new or worsening shortness of breath, new or worsening cough, new or increased sputum production, new (non-fleeting) chest pain or pressure, haemoptysis, headache, GI symptoms, muscle aches or weakness, other symptoms not listed, asymptomatic or unknown.
- Medical course: managed at home without a visit to a health facility, outpatient clinic or ER visit, hospital admission (regular floor), ICU admission but no mechanical ventilation, ICU admission with mechanical ventilation, referred to hospice, died due to infection or unknown.
- Duration of illness: <14 days, 2–4 weeks, >1 month, unknown duration or not applicable: patient asymptomatic.
- Treatments rendered: antiviral medications (Y/N) and/or antibiotics (Y/N).
- Reported impact of infection on quality of life: missed work, quit working or lost job, missed a family event or holiday travel, less active lifestyle persisting >1 month after infection, other, none or unknown.

Chronic infections assessments (recurrent/yearly)
- Type of infection: aspergillosis, histoplasmosis, Nocardia infection, MAI/MAC infection, chronic/recurrent colonisation with Pseudomonas or Burkholderia or Staphylococcus sp. other.
- Signs and symptoms: chronic shortness of breath, chronic cough, chronic sputum production, recurrent chest tightness or wheezing, haemoptysis, recurrent fevers (temperature ≥100.4°F or 38.0°C), weight loss, fatigue, none of these symptoms, unknown.
- Chronicity of infection.
- Infection treatments (free text).
- Miscellaneous comments box.

End of study
- Date and reason for withdrawal: patient moved, died, was lost to follow-up, asked to be removed or other.
- If died: was cause related to sarcoidosis or non-sarcoidosis ILD: Y/N.
- CNS, central nervous system; COP, cryptogenic organising pneumonia; COPD, chronic obstructive pulmonary disease; CTD-ILD, connective tissue disease-associated interstitial lung disease; CVID, common variable immune deficiency disease; DIP, desquamative interstitial pneumonia; ER, emergency room; FVC, forced vital capacity; GI, gastrointestinal; ICU, intensive care unit; IL-6, interleukin 6; ILD, interstitial lung disease; IPAF, interstitial pneumonia with autoimmune features; IFP, idiopathic pulmonary fibrosis; JAK, Janus kinase; KSQ, King’s Sarcoidosis Questionnaire; MAC, Mycobacterium avium complex; MAI, Mycobacterium intracellulare; MSK, musculoskeletal; NSIP, non-specific interstitial pneumonia; PFT, pulmonary function test; RB-ILD, respiratory bronchiolitis-interstitial lung disease; TNF, tumour necrosis factor.

Box 1 Continued

⇒ Measured impact of infection on quality of life: pre-infection and post-infection KSQ results (select sites).
⇒ Infection-related changes to medications: no known changes, stopped medication, reduced dosage or increased dosage.
⇒ Objective measures: updated PFT results (if testing done), and capture of OT chest done around time of infection (Y/N).

Acute viral infection assessments are updated quarterly for 12 sequential quarters. Acute infection surveys query for all potential viral respiratory tract infections occurring within a defined quarter (box 1). For the purposes of this study, a respiratory infection event is any episode of acute (upper or lower) respiratory symptoms reported by the patient and labelled by the clinician as consistent with acute infection, or any incidentally detected infection in patients without respiratory symptoms but undergoing screening testing for respiratory viruses. For each infection, the method of diagnosis (including nasal/pharyngeal swab for viral gene or antigen testing, if available, to determine the specific pathogen), infection-related signs and symptoms, and severity of illness including highest level of medical care required are recorded. To measure the full impact of respiratory infections, we include symptom duration, missed work, impact on other social activities and potential adjustments to sarcoidosis treatment in our outcomes assessment. A subset of centres will collect pre-infection and post-infection health-related quality of life data through use of the validated King’s

the recurrent quarterly assessments for infectious events (see below).11–15

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Sarcoidosis Questionnaire. Each quarterly update will include entries for new sarcoidosis organ involvement and any recently performed PFT data, as well as any change in sarcoidosis treatments.

An assessment for chronic infections also allows the capture of non-acute infections, such as aspergillosis or non-tuberculosis mycobacterial infections, and is an additional instrument included in our database. An end of study instrument is included for patients who die, are lost to follow-up, drop out or are otherwise unable to continue to be longitudinally followed.

Data management
Given the recurrent need for quarterly data collection, sites will maintain study identification keys, which will not be shared with the global database. Biannually, data from local REDCap databases will be uploaded to the global database administered by the University of Pennsylvania. Data use agreements with the University of Pennsylvania are in place for actively enrolling centres. Data evaluation will be performed regularly by lead study personnel experienced in database management, with additional oversight to be provided by the Biostatistics and Data Science Core at the University of Pennsylvania.

Study analysis
Our enrolment goal of 2000 was derived to ensure sufficient power to measure outcomes against discrete clinical features or phenotypes of sarcoidosis. Disease characteristics will be summarised using descriptive statistics (median values with SDs for continuous variables, and numbers with percentages for categorical variables). Continuous variables will be compared with the Student’s t-test, and categorical variables with the Pearson’s X² test to determine if there is a significant difference in the sex, race and smoking backgrounds between groups of patients with mild versus severe infection outcomes in sarcoidosis. Logistic and Cox regression analyses will be conducted to identify potential predictors of outcomes.

Study potential
This study has several unique features which position it to answer important unknowns in sarcoidosis. First, the design of this study aims to improve health equity through proportional representation. The SARCOVID Study is projected to become one of the largest prospective clinical studies of sarcoidosis derived from clinician-verified disease characteristics. Recruiting from a broad swath of geographical sites and catchment areas, we are enrolling a diverse cohort of patients, with strong representation from the south and from urban hospital centres; the latter are, in many cases, safety net hospitals, which have historically been under-represented in sarcoidosis research. Broad study site inclusion positions us to enrol a large number of black patients, which are not only at higher risk of having sarcoidosis, but also of having a more severe disease course.

This is a time of heightened awareness of the importance of respiratory infections in health. Unique among other pandemic-inspired cohort studies, we measure the risk factors and outcomes associated with all respiratory infection events in sarcoidosis. Our results will be relevant to the clinical management of sarcoidosis long after the COVID-19 pandemic has run its course or settled into an endemic disease process.

Finally, while hospitalisation and death are critical outcomes in respiratory infections, other outcomes—while less dramatic—may contribute to the cumulative burden of infections in sarcoidosis. To capture their full morbidity, we measure a range of psychosocial, functional and well-being outcomes. Quality of life impairment is a major morbidity factor in sarcoidosis; superimposed damage from respiratory infections may compound this morbidity, and impact not only the well-being but also the livelihoods, financial security and vitality of patients.

CONCLUSION
The SARCOVID Study will provide unique insight into the full impact of respiratory infections in sarcoidosis, including impacts on quality of life and on the underlying disease course of sarcoidosis. While prior work has established an association of underlying lung impairment with poor outcomes from respiratory infections including COVID-19, the SARCOVID Study uniquely explores the broader dynamics of sarcoidosis clinical heterogeneity, including pulmonary and cardiac involvement, on outcomes from all respiratory infections. Data from this large, diverse study cohort will improve the understanding of the complex relationship between epidemiological factors, distinct clinical phenotypes of sarcoidosis and outcomes of respiratory illnesses. Identifying potentially modifiable risk factors is directly relevant to clinical management, and the results of this study hold the potential to improve the lives and outcomes of a vulnerable patient population while addressing health disparities for a historically understudied disease. This collaborative study also answers the call to match sarcoidosis research efforts to clinical centres which care for a high burden of sarcoidosis and serve a diverse range of patients, and to leverage large data from multisite research to better elucidate the full burden of sarcoidosis.

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Patient consent for publication

Obtained.

Provenance and peer review

Not commissioned; externally peer reviewed.

Data availability statement

Data sharing not applicable as no datasets generated and/or analysed for this study.

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